

Care MATTERS[®] LIST FINANCIAL SERVICES

When financial needs change or become more complex in later life, a financial advisor may be needed. Great care should be taken to assure that you place your trust in a competent, honest professional.

CHOOSING A FINANCIAL ADVISOR

1) Interview a few financial advisors who have been recommended by people you know and trust.

Name _____ Company _____ Phone _____

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2) When making an appointment, ask about charges for the initial visit: No charge Charge \$ _____

What are their charges after retained? \$ _____ Can you get an estimate? \$ _____

What is the scope of any ongoing costs of their services? \$ _____

3) During the interview, ask what training, experience and degrees they have:

CFA (Certified Financial Analyst) CFP (Certified Financial Planner)

CLU (Chartered Life Underwriter) ChFC (Chartered Financial Consultant)

CPA (Certified Public Accountant) Other _____

4) Ask about their clientele. Do they have senior clients like you? Get a couple references:

Name _____ Phone _____

Name _____ Phone _____

5) What is their availability to you: Throughout year? _____ Just at tax season? _____

6) Who will actually perform the work: The professional you are meeting with? _____ An assistant? _____

7) With tax laws constantly changing, how does the analyst keep up with changes in laws?

Seminars? Credited / certified classes? Other _____

NOTES: _____

FINANCIAL SERVICES PROVIDED

8) Exactly what services will the financial analyst provide? _____

Written reports? _____

Changes in laws that pertain to you? _____

Representation at a tax audit? _____ Is there an extra cost involved? _____

Other _____

NOTES: _____



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